



STANFORD UNIVERSITY
SLAC National Accelerator Laboratory
 Operated by Stanford University for the U.S. Department of Energy



DOE Order 471.1B – Identification and Protection of Unclassified Controlled Nuclear Information (3/1/2010)
Site Compliance Plan (2/11/2021)

Contents

Introduction 1
 Attachment 1 – Contractor Requirements Document..... 2
 Approvals 3
 Revision History 3

Introduction

This Site Compliance Plan (SCP):

- a) corresponds with the version of the DOE Order on Identification and Protection of Unclassified Controlled Nuclear Information listed in the Prime Contract,
- b) outlines the specific CRD sections that apply to SLAC and the respective method of compliance clarifies that the Lab “In compliance”. The applicable sections identified herein define SLAC’s Baseline Level of Protection (BLP) as it correlates with the CRD and the SLAC Site Security Plan (SSP), states how the Laboratory complies with applicable requirements as tailored to the risks at the Laboratory,
- c) correlates and compares SLAC’s Safeguards and Security Program and Site Security Plan with the CRD of this Order, and
- d) documents recurring deliverables* and DOE-approved methods of compliance for applicable requirements.

Impact on the Contract:

Under the SCP, sections of the Order are incorporated into the Contract as-is, unless the SCP indicates that a section or portion thereof is inapplicable, or the section has been changed. Thus, for example, if “in compliance” is listed next to a section, that section is incorporated into the Contract as-is. However, where an SCP indicates that a section or portion thereof is inapplicable, the section or portion thereof is excluded from the Contract. In addition, where a section or portion thereof is applicable, but changes to the section have been agreed by the Parties, the section, as modified by the Parties, shall be incorporated into the Contract. The SCP also memorializes the Parties’ agreement on how SLAC will comply with sections of the Order (whether or not modified).

**Deliverables: Data delivered to DOE or other external agency (e.g., recurring reporting, external database entries)*



STANFORD UNIVERSITY
SLAC National Accelerator Laboratory
 Operated by Stanford University for the U.S. Department of Energy



DOE Order 471.1B – Identification and Protection of Unclassified Controlled Nuclear Information (3/1/2010)
Site Compliance Plan (2/11/2021)

Attachment 1 – Contractor Requirements Document

Regardless of the performer of the work, the contractor is responsible for complying with the requirements of this Contractor Requirements Document (CRD) and flowing down CRD requirements to subcontractors at any tier to the extent necessary to ensure contractor compliance.

This CRD establishes the requirements for Department of Energy (DOE) and National Nuclear Security Administration (NNSA) contractors whose contracts involve access to Unclassified Controlled Nuclear Information (UCNI).

Detailed requirements for implementing DOE’s UCNI program are contained in 10 Code of Federal Regulations (CFR) Part 1017, Identification and Protection of Unclassified Controlled Nuclear Information (online at http://www.access.gpo.gov/nara/cfr/waisidx_09/10cfr1017_09.html). For example, included are requirements for (1) reviewing and marking documents and material containing UCNI (10 CFR 1017.15 through 1017.17), (2) controlling access to UCNI documents and material (10 CFR 1017.19 through 1017.21), and (3) physical protection of UCNI documents and material (10 CFR 1017.22 through 1017.28). UCNI program implementation must be consistent with and meet all requirements in 10 CFR part 1017.

§	Requirement from Attachment 1 – CRD	Compliance Status	Method of Compliance	Deliverables*			
				Item	Frequency	Due Date(s)	Recipient (e.g., BASO)
	In addition to the requirements contained in 10 CFR part 1017, the contractor must:						
1	Ensure that access to UCNI is provided to only those individuals authorized for routine or special access	N/A	SLAC will be a Non-Possessing (NP) facility and will not have any classified material or information.	N/A	N/A	N/A	N/A
2	Ensure that matter identified as UCNI is protected in accordance with the instructions contained in DOE O 471.1B	N/A	Same as above	N/A	N/A	N/A	N/A
3	Report any incidents involving the unauthorized disclosure of UNCI.	N/A	Same as above	N/A	N/A	N/A	N/A

(end CRD)

*Deliverables: Data delivered to DOE or other external agency (e.g., recurring reporting, external database entries)


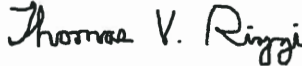



STANFORD UNIVERSITY
SLAC National Accelerator Laboratory
 Operated by Stanford University for the U.S. Department of Energy



DOE Order 471.1B – Identification and Protection of Unclassified Controlled Nuclear Information (3/1/2010)
Site Compliance Plan (2/11/2021)

Approvals

Name	Title	Signature	Date
Brian Sherin	Deputy Director for Operations, SLAC		2/12/2021
Thomas V. Rizzi	Division Director of Operations, BASO		02/16/2021
Paul Golan	Head of Field Element, BASO		2/16/2021

Please return signed document to Contract Management.

Revision History

Revision	Revision Date	Summary of Change(s)
R0	2/11/2021	Original release.