



STANFORD UNIVERSITY

SLAC National Accelerator Laboratory

Operated by Stanford University for the U.S. Department of Energy



DOE Order O 473.2A – Protective Force Operations (8/30/2021)

Site Compliance Plan (8/17/2022)

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**Introduction**

This Site Compliance Plan (SCP):

- a) corresponds with the version of the DOE Order on Protective Force Operations listed in the Prime Contract,
- b) outlines the specific CRD sections that apply to SLAC and the respective method of compliance clarifies that the Lab “In compliance”
- c) correlates and compares SLAC’s Safeguards and Security Program and Site Security Plan with the CRD of this Order, and
- d) documents recurring deliverables\* and DOE-approved methods of compliance for applicable requirements.

Impact on the Contract:

Under the SCP, sections of the Order are incorporated into the Contract as-is, unless the SCP indicates that a section or portion thereof is inapplicable, or the section has been changed. Thus, for example, if “in compliance” is listed next to a section, that section is incorporated into the Contract as-is. However, where an SCP indicates that

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a section or portion thereof is inapplicable, the section or portion thereof is excluded from the Contract. In addition, where a section or portion thereof is applicable, but changes to the section have been agreed by the Parties, the section, as modified by the Parties, shall be incorporated into the Contract. The SCP also memorializes the Parties’ agreement on how SLAC will comply with sections of the Order (whether or not modified).

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**Appendix A – Federal Protective Force: DOE Order O 473.2A – Protective Force Operations (8/30/2021)**

§	Requirements from Appendix A – Federal Protective Force	Compliance Status	Method of Compliance	Deliverables* (managed through <a href="#">SLACTrak</a> )			
				Item	Frequency	Due Date(s)	Recipient (e.g., SSO)
Appendix A	Federal Protective Force	Not applicable	This section of the order applies to federal employees only.	N/A	N/A	N/A	N/A

**Attachment 1 – Contractor Requirements Document: DOE Order O 473.2A – Protective Force Operations (8/30/2021)**

This CRD is issued to identify requirements applicable to contractors. U.S. DOE contractors must adhere to PF program standards for protecting S&S interests identified in DOE O 470.3, Design Basis Threat (DBT) Order, current version. This attachment establishes the requirements for DOE CPF.

§	Requirements from Attachment 1 – CRD	Compliance Status	Method of Compliance	Deliverables* (managed through <a href="#">SLACTrak</a> )			
				Item	Frequency	Due Date(s)	Recipient (e.g., SSO)
1-I	Chapter I. Management						

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1-I.1	MISSION AND RESOURCE PLANNING						
1-I.1.a	Mission. CPF (Contractor Protection Force) programs/elements regardless of size, must clearly identify the mission to be fulfilled by the organization.	In compliance	SLAC has a Physical Security Program and approved site compliance plans (SCP) for all the applicable DOE orders in the contract. The Physical Security Program is aligned with SLAC’s overall mission.	N/A	N/A	N/A	N/A
1-I.1.b	Resource Requirements. Resource requirements must be documented in a federally approved SSP based on the results of the applicable	In compliance	SLAC has an approved site security plan (SSP) that covers the entire security program at SLAC.	N/A	N/A	N/A	N/A

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				Item	Frequency	Due Date(s)	Recipient (e.g., SSO)
	analysis.						

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1-I.1.c	Authorizations. Authorization may be identified by FTEs, the total number of personnel needed, total number of direct labor hours, and/ or quantities of equipment items to perform work.	In compliance	SLAC has a security vendor and all the requirements for security are covered in the statement of work, which covers all posts/duties that security performs at SLAC. All headcounts are based on the assessment/requirements described in the SLAC SSP.	N/A	N/A	N/A	N/A
1-I.2	OPERATIONAL GUIDANCE AND WRITTEN DIRECTIVES						
1-I.2.a	Development (1)Written plans, POs, GOs, and procedures covering CPF routine, emergency, and administrative duties, tactical deployment, and other operational requirements must be developed.	In Compliance	SLAC has a DOE-approved SSP. In addition, SLAC has internal policies/protocols/procedures that are updated every 12 months and cover all the duties/responsibilities for security officers working on site.	N/A	N/A	N/A	N/A
1-I.2.b	Response Plans (1) Response plans must address the appropriate potential adversary addressed in DOE O 470.3, current version., demonstrator, active shooter (assailant) Terrorist, etc. (2) Number of CPF personnel required to implement protection	Not applicable	SLAC relies on local law enforcement for armed response. SLAC does not have an armed protective force. Officers are “observe and report” and the majority are certified emergency medical technicians (EMTs).	N/A	N/A	N/A	N/A

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				Item	Frequency	Due Date(s)	Recipient (e.g., SSO)
	strategies; tactical response options, actions, and times; and other applicable response requirements must be addressed in response plans. Response times may be dictated by VAs, DOE directives, or other National drivers.						
1-I.2.c	Procedures. Administrative, training, and other non-response related operational requirements must be addressed in written procedures.	In Compliance	SLAC has a DOE-approved SSP. In addition, SLAC has internal policies/protocols/procedures that are updated every 12 months and cover all the duties/responsibilities for security officers working on site.	N/A	N/A	N/A	N/A
1-I.2.d	Officer-Involved Shooting (OIS) Procedures	Not applicable	SMSO will be the local law enforcement responding to SLAC.	N/A	N/A	N/A	N/A

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1-I.2.e	Memorandums of Understanding (MOU). (1) If local, State, or Federal or other agencies support the implementation of this Order, sites must establish cooperative agreements, e.g., Memorandums of Understanding (MOUs) outlining the specific support to be provided and how the external support will be integrated into CPF operations.	In Compliance by FY 2023 Q1	SLAC currently does not have a MOU with the local law enforcement agency responsible for SLAC, which is the San Mateo County Sherriff’s Office (SMSO).  SLAC will have a MOU in place with local law enforcement by FY2023 Q1. See the SLAC SCP for DOE O 473.1A.	N/A	N/A	N/A	N/A
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§	Requirements from Attachment 1 – CRD	Compliance Status	Method of Compliance	Deliverables* (managed through <a href="#">SLACTrak</a> )			
				Item	Frequency	Due Date(s)	Recipient (e.g., SSO)

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	<p>(2) All MOUs must be documented in the appropriate SSP; reviewed annually by all parties and updated on a periodic basis not to exceed five years.</p> <p>(3) CPF arming and arrest authority is limited to the performance of official duties on DOE property or while escorting certain DOE property off site.</p> <p>(a) Fresh pursuit, under very specific circumstances, may be authorized to enable the off-site apprehension of someone suspected of having committed an offense onsite.</p> <p>(b) Off-site assistance to local law enforcement authorities by DOE CPF is not permitted by existing laws and regulations</p>						
1-II	Chapter II. Training and Qualifications	Not applicable	The training described in the order is for armed officers. SLAC does not have armed officers. Security officers at SLAC complete all California requirements for security officers and EMTs. In addition, the officers complete all site-specific training required at	N/A	N/A	N/A	N/A

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				Item	Frequency	Due Date(s)	Recipient (e.g., SSO)
			SLAC.				
1-II	Section A. Firearms Training	Not applicable	SLAC does not have armed officers. SLAC officers are observe and report officers and will contact the San Mateo County Sheriff’s Office for response.	N/A	N/A	N/A	N/A
1-II	Section B. Firearms qualifications	Not applicable	SLAC does not have armed officers. SLAC officers are observe and report officers and will contact San Mateo County Sheriff’s Office for response	N/A	N/A	N/A	N/A
1.III	Chapter III. Duties	Not applicable	The duties described in the order are for armed officers. SLAC has a DOE-approved SSP. In addition, SLCA has internal policies/protocols/procedures that are updated every 12 months and cover all the duties/responsibilities for security officers working on site. Security has patrol/gate/badge office duties that are documented in our protocols. All officers must complete all required training within the first 60 days of starting work at SLAC.	N/A	N/A	N/A	N/A

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1-IV	Chapter IV. Weapons and Munitions	Not applicable	SLAC does not have armed officers. SLAC officers are observe and report officers and will rely on the San Mateo County Sheriff's Office for response	N/A	N/A	N/A	N/A
1-V	Chapter V. Facilities and Equipment	Not applicable	Facilities and equipment referenced in this section are for facilities with higher security	N/A	N/A	N/A	N/A

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				Item	Frequency	Due Date(s)	Recipient (e.g., SSO)
			protection levels. SLAC does not have armed officers. SLAC has established protocols that cover all use/maintenance of equipment on site.				
1-VI	Chapter VI. Performance Testing	Not applicable	The testing described in this section applies to facilities with higher security levels. SLAC does not have armed officers and does not possess any classified materials. SLAC officers are observe and report officers and will rely on the San Mateo County Sheriff's Office for response	N/A	N/A	N/A	N/A

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1-Annex 1	Annex 1. Legal Authority/Fresh Pursuits/Rules of Engagement	Not applicable	SLAC relies on local law enforcement for pursuits and rule of engagement. SLAC does not have armed officers. SLAC officers are observe and report officers and will rely on the San Mateo County Sheriff’s Office for response	N/A	N/A	N/A	N/A
1-Annex 2	Annex 2. Department of Energy Tactical Doctrine	Not applicable	SLAC does not have armed officers. SLAC officers are observe and report officers and will rely on the San Mateo County Sheriff’s Office for response	N/A	N/A	N/A	N/A

(end CRD)

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**Definitions**

Terms commonly used in the program are defined in the AU Policy Information Resource website, <https://pir.doe.gov/>.

**Approvals**

Name	Title	Signature	Date
Simon Ovrachim	Security Manager	<i>Simon Ovrachim</i>	8/17/2022
Nancy Maté	Cyber and Physical Security Manager, SSO		8/18/2022
Hanley Lee	Head of Field Element, SSO		8/18/2022

Please return signed document to [Burtnett@SLAC.Stanford.edu](mailto:Burtnett@SLAC.Stanford.edu)

**Revision History**



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Revision	Revision Date	Summary of Change(s)
R0	8/17/2022	Original release.